

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB Control Number 1506-0043]

TAISHIN INTERNATIONAL BANK CO., LTD. USA PATRIOT ACT GLOBAL CERTIFICATION

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100(d)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

- A. The undersigned financial institution, including **Taishin International Bank Co.,Ltd. Head Office and all its overseas branches as listed in the attachment (“Taishin Entities”)**, hereby certifies as follows :
- B. **Correspondent Accounts Covered by this Certification :**

This Certification applies to **all** accounts established for **Taishin Entities** by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

Each of Taishin Entities maintains a physical presence in any country. That means each of the Taishin Entities indicated in the attachment :

- Has a place of business at the street address set forth in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities ;
- Is authorized to conduct banking activities in the country where its place of business is located ;
- Is subject to inspection by the banking authorities as indicated in the attachment hereto, that licensed the particular entity to conduct banking activities.

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. **Taishin Entities** hereby certify that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

Taishin Entities are branches or head office of Taishin International Bank Co., Ltd.. Taishin International Bank Co., Ltd. is wholly owned by Taishin Financial Holding Co., Ltd., whose shares are publicly traded.

Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934(15 U.S.C. 78c(a)(50)).

Name	Address
<i>Taishin Financial Holding Company Ltd (has no owners with 25% or more of any class of voting shares)</i>	<i>No.118, Sec.4, Ren-Ai Road, Da-an District, Taipei City 10687, Taiwan (R.O.C) www.taishinholdings.com.tw</i>

F. Process Agent:

Chang Hwa Commercial Bank, Ltd. New York Branch is a resident of the United States at the following street address : **685 Third Avenue, 29th Floor, New York, N.Y.10017, USA,** and is authorized to accept service of legal process on behalf of **Taishin Entities** from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

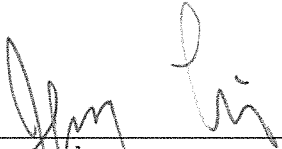
G. General

Taishin Entities hereby agree to update this Certification published on the website whenever there is any change in facts or circumstances reported in this Certification. Given the signature date in this Certification, the Covered Financial Institution can accurately examine when it is updated. The update shall be completed within 30 calendar days of such change.

Taishin Entities understand that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. **Taishin Entities** further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Jerry Lin, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of **Taishin Entities**.

Taishin International Bank Co., Ltd.



[Signature]

Jerry Lin

Senior Vice President

Chief Compliance Officer

Executed on this 17th day of January, 2023.

Attachment to Taishin International Bank Certification Regarding Correspondent Accounts for Foreign Banks

Taishin Entities	Street Address	Banking Authority
HEAD OFFICE		
Taishin International Bank Co., Ltd.	B1・1F, No.44, Sec.2, Zhongshan N. Rd., Zhongshan Dist., Taipei City 104, Taiwan (R.O.C)	<ul style="list-style-type: none"> • Central Bank of the Republic of China (Taiwan) • Financial Supervisory Commission (Taiwan)
OVERSEAS BRANCH		
Taishin International Bank Co., Ltd. Hong Kong Branch	6/F, Sun Life Tower 5, The Gateway, 15 Canton Road, Tsimshatsui, Kowloon, Hong Kong	<ul style="list-style-type: none"> • Hong Kong Monetary Authority/ • Financial Supervisory Commission (Taiwan)
Taishin International Bank Co., Ltd. Singapore Branch	18 Robinson Road, #26 Robinson Centre, Singapore 048547	<ul style="list-style-type: none"> • Monetary Authority of Singapore • Financial Supervisory Commission (Taiwan)
Taishin International Bank Co., Ltd. Tokyo Branch	8F, Meiji Yasuda Life Insurance Building., 2-1-1 Marunouchi, Chiyoda-ku, Tokyo 100-0005 Japan	<ul style="list-style-type: none"> • Financial Services Agency, Japan • Financial Supervisory Commission (Taiwan)
Taishin International Bank Co., Ltd. Brisbane Branch	Level 24, 111 Eagle Street, Brisbane QLD 4000 Australia	<ul style="list-style-type: none"> • Australian Prudential Regulation Authority • Australian Transaction Reports and Analysis Centre • Financial Supervisory Commission (Taiwan)
Taishin International Bank Co., Ltd. Labuan Branch	Unit No. Level 4 H, Main Office Tower, Financial Park Complex Labuan, Jalan Merdeka, 87000 Federal Territory of Labuan, Malaysia	<ul style="list-style-type: none"> • Labuan Financial Services Authority • Financial Supervisory Commission (Taiwan)